## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Robert H. Harris Examiner: Unassigned

Serial No: Unassigned Art Unit: Unassigned

Filed: Herewith Docket: 13095A

For: NEW USES FOR AMINO ACID Dated: October 17, 2003

ANTICONVULSANTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Sirs:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. International Application WO 99/43309, published September 2, 1999
- 2. United States Patent Application No. 5,378,729; issued to Kohn, et al., dated January 3, 1995;

## **CERTIFICATE OF MAILING BY EXPRESS MAIL**

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Date of Deposit: October 17, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: October 17, 2003

Peter I. Bernstein

- 3. Kohn H. et al., "Synthesis and anticonvulsant activities of α-heterocyclic α-acetamido-N-benzylacetamide derivatives", Journal of Medicinal Chemistry, United States 29 October 1993, vol. 36, no. 22, pp. 3350-3360, ISSN: 0022-2623, page 3355, column 1, paragraph 2, example 3F; table 1;
- 4. Shridhar V. Andurkar, et al., "The Anticonvulsant Activities of N-Benzyl 3-Methoxypropionamides", Bioorganic & Medicinal Chemistry 7 (1999), pp. 2381-2389, pg. 2381, column 2; example 3, pg. 2385, column 1, paragraph 2-paragraph 3, XP001097157;
- Claudio Toniolo, et al., "A crystal-state, solution and theoretical study of the preferred conformation of linear C. α,α-diphenylglycine derivatives and dipeptides with potential anticonvulsant activity", Int. J. Pept. Protein Res. 44. 1994, 85-95, XP001074241, Page 85, column 1, paragraph 2, Page 86, column 1; example XIV.
- 6. Angreas Billich, et al., "HIV proteinase inhibitors containing 2-aminobenzylstatine as a novel scissile bond replacement: biochemical. and pharmacological characterization", *Antiviral Research 25 (1994) pp. 215-233, XP008006766, page 216; table 1.*
- 7. United States Patent No. 5,885,999, issued to Elliott, et al., dated March 23, 1999;
- 8. European Patent Application No. EP 0 997 147 A1, dated July 3, 1998;
- 9. United States Patent Application No.: 6,133,261, issued to Harris, dated October 17, 2000;
- 10. European Patent Application No.: EP 1 084 704 A1, dated March 21, 2001, Keiji Wada, et al.;
- 11. United States Patent Application No. US 6,228,875 B1, issued to Tsai, et al., dated May 8, 2001;
- 12. Solomon H. Snyder, et al., "D-Amino Acids as Putative Neurotransmitters: Focus on D-Serine, *Neurochemical Research, Vol. 25, No. 5, 2000, pp. 553-560*;
- 13. Myung Ha Yoon, MD, et al., "The effect of Intrathecal Gabapentin on Pain Behaivor and Hemodynamics on the Formalin Test in the Rat, *International Anesthesia Research Society, (1999), pp. 434-439*;
- 14. David E. Baranano, et al., "Atypical neural messengers", TRENDS in Neurosciences, vol. 24, No. 2, February 2001, pp. 99-106;

- 15. J.M. Elliott, et al., "Serine Derived NK<sub>1</sub> Antagonists 1: The Effect of Modifications to the Serine Substituents", *Bioorganic & Medical Chimistry Letters 8 (1998) pp.1845-1850*;
- 16. Solomon H. Snyder, M.D., et al., "Novel Neurotransmitters and their Neuropsychiatric Relevance", *Am J Psychiatry*, 157:11, November 2000, pp. 1738-1751;
- 17. Robert Berkow, M.D., et al., "The Merck Manual of Diagnosis and Therapy", Merck Research Laboratories, (1992), pp. 1406-1615;
- 18. Harris FRC, and Schwarz Pharma AG, Windover Information Inc., 2000, page 122;
- 19. International Application No. WO 99/03460, dated January 28, 1999;
- 20. Herman Wolosker, et al., "Serine racemase: A glial enzyme synthesizing D-serine to regulate glutamate-N-methyl-D-asparatate neurotransmission", *PNAS*, vol. 96, no. 23, November 9, 1999, pp. 13409-13414;
- 21. Fu Y, et al., "Systemic nicotine stimulates dopamine release in nucleus accumbens: Reevaluation of the role of N-methyl-D-aspartate receptors in the ventral tegmental area [In Process Citation], *J Pharmacol Exp Ther 2000*, *Aug;294(2):458-65*;
- 22. Daeock Choi, "Synthesis, Chemistry, and Biological Evaluation of Medicinally Relevant Compounds", *A Bell & Howell Company, December, 1995, pp.1-208*;
- 23. Abstract of International Application No. WO 99/02146, dated January 21, 1999; and
- 24. South Africa Patent Application No. 98/5940, issued to Takuya Seko, et al., dated July 6, 1998.

Pursuant to 37 C.F.R. §1.98(d), copies of the above listed references are not provided, as references were previously submitted to the Examiner and references were previously cited by the Examiner in connection with parent case, U.S. Serial Number: 09/938,677 filed on August 24, 2001.

Consideration of this Information Disclosure Statement is respectfully requested, since the art provided may be material to the examination of the present application as filed under 37 C.F.R. §1.56.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R §1.97(b), no statement or fee is required.

Respectfully submitted,

Peter I. Bernstein

Registration No. 43,497

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, NY 11530 (516) 742-4343

PIB:dg

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80)PATENT AND TRADEMARK OFFICE  LIST OF PRIOR ART CITED BY APPLICANT  (Use several sheets if necessary)				Atty. Docket No. 13095A			Serial No. Unassigned		
				Applicant Robert H. Harris					
				Filing Date August 24, 2001			Group Art Unit Unassigned		
U.S. PATI	ENT I	DOCUMENTS							
EXAMINER INITIAL*		DOCUMENT NUMBER	DATE		NAME CLASS SUBCLA		SUBCLASS	FILING DATE (if appropriate)	
	AA	5,378,729	1/3/1995	K	Kohn et al.				
	AB	5,885,999	3/23/1999	Elliot et al.					
	AC	6,133,261	10/17/2000	Harris					
	AD	6,228,875 B1	5/8/2001	Ts	ai et al.				
FOREIGN	I PAT	TENT DOCUMENTS							
	1	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANSLATION	
								YES	NO
		WO 99/43309	9/2/1999		PCT				
		EP 0 997147 A1	7/3/1998		EPO				
		EP 1 084 704 A1	3/21/2001		EPO				
		WO 99/03460	1/28/1999		PCT				·
		98/5940	7/6/1998		South Africa				·
OTHER PI	RIOR	ART (Including Author	· · · · · · · · · · · · · · · · · · ·		<del>-</del>			·	
		Kohn H. et al., "Synthesis and anticonvulsant activities of α-heterocyclic α-acetamido-N-benzylacetamide derivatives", Journal of Medicinal Chemistry, United States 29 October 1993, vol. 36, no. 22, pp. 3350-3360, ISSN: 0022-2623, page 3355, column 1, paragraph 2, example 3F; table 1							
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David E. Baranano, et al., "Atypical neural messengers", TRENDS in Neurosciences, vol. 24, No. 2, February						
2001, pp. 99-106						
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Robert Berkow, M.D., et al., "The Merck Manual of Diagnosis and Therapy", Merck Research Laboratories,						
(1992), pp. 1406-1615						
Harris FRC, and Schwarz Pharma AG, Windover Information Inc., 2000, page 122						
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Fu Y, et al., "Systemic nicotine stimulates dopamine release in nucleus accumbens: Reevaluation of the role of N-methyl-D-aspartate receptors in the ventral tegmental area [In Process Citation], J Pharmacol Exp Ther 2000, Aug; 294(2):458-65						
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& Howell Company, December, 1995, pp.1-208						
Abstract of International Application No. WO 99/02146 dated January 21, 1999						
 DATE CONSIDERED						

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.